Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/SYSTM/5565   **November 18, 2015**

CHANGES IN BO SETUP/MODIFY: - ENTRY OF RECIPIENT DEMAT ACCOUNTS FOR CM POA HOLDER & INTRODUCTION OF ADDITIONAL FIELDS

A. Capturing of Recipient demat accounts for stock broker POA holders.

DPs are advised to refer to SEBI Circular no. **CIR/MRD/DMS/13/2010** dated April 23, 2010 and SEBI Circular no. **CIR/MRD/DMS/28/2010** dated August 31, 2010 regarding the clarifications provided by SEBI for execution of Power of Attorney by the client in favour of the Stock Broker/ Stock Broker and Depository Participant [DP].

As per the aforesaid circulars, for the POA executed in favour of Stock Broker and DP by the client, the POA document should provide a list of Clients & Brokers demat account where funds and securities can be moved. Such list may be updated / amended by proper communication without executing a new POA every time and copies of such communications may be preserved as annexure to the POA.

SEBI has now directed that the DP should ensure that in case of transactions executed by such Stock Broker POA holder, the recipient accounts should not be other than those specified in the POA and there should be system mechanism to ensure the same.

Accordingly, following functionalities are being incorporated in CDSL system.

1. Creation of Master POA ID in BO module – POA set up
   a. A new flag "Master POA type" will be introduced in CDAS to distinguish between a POA Master ID created for POA in favour of a Stock Broker (in accordance with SEBI circulars mentioned above) and other POAs. The flag "Master POA type" will have values as "C" and "R". "C" – for POAs given in favour of Stock Broker and "R" for other POAs.
   b. If the value "C" is chosen for the flag "Master POA type" then the DP will be required to enter Exchange ID, CMID and demat account numbers as mentioned in the POA document. DPs can obtain the details of CM i.e CMID, Exchange ID, from CD11 report [CM Master List]. The DPs will also be able to enter demat account numbers pertaining to NSDL, if NSDL demat account numbers are mentioned in the POA document.. Mapping of at least one CM demat account is mandatory.

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c. In case of creation of Master POA ID through uploads, a new purpose code 21 will be introduced in BO Setup Upload / BO Modify Upload. (Refer Annexures A & B). In master POA Setup / Modify upload purpose code 21 will be repeated for each CMID-Exchange ID –CM Account. DPs can map the Principal, Pool and Early Payin accounts of the CM in a single line by using mapping-unmapping flag as ' 3'.

d. The DP will be able to add aforesaid account(s) as well as delete the mapped accounts through online POA Master detail modify Sub Menu / BO Modify Upload (New purpose Code 21 will be repeated for CMID-Exchange ID – CM Account with mapping unmapping flag as 1 - Mapping and 2 - Unmapping)

2. Update of existing Master POA IDs

a. For all the existing POA IDs in 'Active' status, the DP will be required to update the flag "Master POA type" with values as 'C' where the POA is in favour of Stock Broker Or 'R' in all other cases.   The DP will also be required to enter Exchange ID, CMID and demat account numbers as mentioned in the POA where the flag "Master POA type"   is marked as "C". The same can be updated   by using BO Modify Upload or BO modify option – online entry.

3. Validations for execution of transactions:

a. In case of transactions where DIS is issued to the Master POA ID where the flag "Master POA type"   is marked as "C", the system will allow execution only if the recipient account number is mapped to the said Master POA ID and the debit BOID (all holders) is linked to the master POA ID. All debit transactions through **Settlement Module** (BO Confirmation, Inter Depository, Early Pay-in and Offmarket / Onmarket through Transaction Module) / common Upload where **DIS issued to POA** is used will be validated.

b. For the files uploaded by the CM in 'easiest' through its easiest login, there will be a check to ensure that the recipient account (s) is/are mapped to the CM's POA ID in CDAS **and** the said account (s) is/are also grouped under the CM login in easiest. [Refer communiqué DP-5020 dated February 20, 2015].

4. Closure of CM demat accounts :-

a. If a CM closes its existing account with a DP and opens a new account with another DP, the CM will be required to inform the same to all BOs who have executed POA in its favor and further ask them to provide the updated list of recipient demat account numbers to their DP. Alternatively, the CM can also approach the DP directly and request for change of recipient demat account numbers against his Master POA ID set

up by the said DP. The CM should provide a declaration to the DP stating that he has informed all the concerned BOs about the change in recipient demat account numbers for his Master POA ID.

b. If the account closed is a CM principal, CM pool account or Early Payin account then the account mapping for all POA link for the CM will be updated with the new account number automatically and no action is required to be taken by the DP.   However in case of closure of any CM accounts, other than the CM principal, CM pool account and Early Pay-in account i.e. Client Margin account the DP has to map these accounts separately. In case the Client Margin account(s) of the CM are not mapped then the transactions will fail where such accounts are recipient demat accounts.

Existing POA Master IDs

DPs are advised to note that CDSL will be providing the dump of all existing POA master IDs and its product details in respective DP Billing folder with file name as **BLNG<DPID>_POA_Master_Data_18Nov2015.zip** by tomorrow i.e. **by November 19, 2015** EOD. DPs are advised to update existing POA master IDs with value as 'C' or 'R' under the field "Master POA type"   and map all the CM accounts mentioned in the POA document submitted by the BO on the basis of which the CM POA master ID was created. **DPs are required to ensure that such update is done before release of the functionality of validations for execution of transactions by CM Master POA IDs.**

DPs are advised to note that the functionality regarding mapping of accounts is scheduled for release on December 18, 2015.   After this release, all new master POA IDs created will have to be created with the flag 'C' or 'R' and for 'C' type of master POA IDs, the CM accounts will have to be mapped with the POA ID. CDSL will provide updated dump of all existing POA master IDs and its product details as on December 18, 2015 in respective DP Billing folder on December 19, 2015.

The functionality of transaction validation against mapped accounts is expected to be released on Friday, January 01, 2016. The DPs should ensure that update of existing Master POA IDs should be completed before such release.

B. Other changes in the BO set up / BO modify – online entry and upload

Following new fields will be captured during BO Setup / BO modify .

a. **Date of Receipt** : To capture date of account opening / account modification request from BO.

b. **Flag for family account** : DPs are advised to refer to communiqué no. DP-5139 dated April 15, 2015 wherein it was provided that under exceptional circumstances, the DPs may, at the specific written request of a client upload the same mobile number / email address for more than one client provided such clients belong to one family. 'Family' for this purpose would mean self, spouse, dependent children and dependent parents. The DPs should identify such accounts by updating Family Account Flag with value Y/N. The default value for this flag is 'N'

c. **Custodian / PMS email id**:- This field will be used to capture the e-mail id of custodian/PMS provider for custodial/PMS accounts to enable custodians/PMS providers to receive notifications for corporate action etc from the RTA. This field will be provided to RTAs in the benpos.

C : Other changes in the BO Closure and BO modify -signature delete/replace – online entry and upload.

Following new fields will be captured during BO Closure / BO Signature delete/replacement..

a. **Date of Receipt:** To capture the date of request received for BO initiated closure and for deletion/replacement of signature.

The updated files formats are as per annexures listed below

BO Setup Upload	Annexure A	Release date
BO Modify Upload	Annexure B	December 18, 2015
BO Signature Upload	Annexure C	Date of Release
BO Closure Upload	Annexure D	January 18, 2016
Client Master Report	Annexure E [DPS8/DPS9/DPB9]	Date of Release December 18, 2015

Queries regarding this communiqué may be addressed to:

CDSL – Helpdesk (022) 2272-8642, 2272-8427, 2272-8624, 2272-8693, 2272-8639, 2272-1261 or 2272 2075. Emails may be sent to: helpdesk@cdslindia.com

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Nayana Ovalekar
Sr. Vice President – Operations

FILE FORMAT: BO SET UP UPLOAD

File Name   :   <08><DP ID>.<3 to 5 digits running serial number>

Input Type   :   **M**andatory / **O**ptional

Header Record: This record will contain:

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
DP ID	NUMBER	6	M
OPERATOR ID	CHAR	6	M
TOTAL NO. OF RECORDS	NUMBER	5	M

Details Records: This record will contain following lines:

First Line

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
01	NUMBER	2	M (Line Number of the record)
SERIAL NO (Unique)	NUMBER	4	M
BO ID	NUMBER	16	O
BO REQUEST RECEIPT DATE	*DATE*	*14*	*M*

Second Line (First Account Holder):

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
02	NUMBER	2	M (Line Number of the record)
PRODUCT NUMBER	NUMBER	4	M
BO NAME	CHAR	100	M
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	M
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
CUST ADDR 1	CHAR	30	M
CUST ADDR 2	CHAR	30	O
CUST ADDR 3	CHAR	30	O
CUST ADDR CITY	CHAR	25	M
CUST ADDR STATE	CHAR	25	M
CUST ADDR CNTRY	CHAR	25	M
CUST ADDR ZIP	CHAR	10	M
CUST PH 1 INDC	CHAR	1	O
CUST PH 1	CHAR	17	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
CUST PH 2 INDC	CHAR	1	O
CUST PH 2	CHAR	17	O
CUST ADDL PHONE	CHAR	100	O
CUST FAX	CHAR	17	O
INCOME TAX PAN	CHAR	10	M
UID	*CHAR*	*12*	*O*
POA Type Flag	*CHAR*	*1*	*O* *(C / R)* *Mandatory for POA Master Creation* *If POA Type Flag is 'C', then Purpose Code 21 details will be mandatory for CM POA.* *If POA Type Flag is 'R', then it will be considered as a Regular POA*
FILLER1	*CHAR*	*2*	
IT CIRCLE	CHAR	15	O
CUST E-MAIL	CHAR	50	O
USER TEXT 1	CHAR	50	O
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
USER FIELD 4 (PAN Exemption Code)	CHAR	4	O 1st character – 1st Holder PAN Exemption Code 2nd character – 2nd Holder PAN Exemption Code 3rd character – 3rd Holder PAN Exemption Code
USER FIELD5 (PAN Verification Flag)	NUMBER 1st character – 1st Holder PAN Verification Code 2nd character – 2nd Holder PAN Verification Code 3rd character – 3rd Holder PAN Verification Code	4	O PAN Verification flag 0 – PAN Not Verified 1 – PAN verified 2 –PAN verification reversed
SIGNATURE FILE FLAG	CHAR	1	O (Y/N)

Third Line (Second Account holder):

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
03	NUMBER	2	M (Line Number of the record)
BO NAME	CHAR	100	M
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	M
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
INCOME TAX PAN	CHAR	10	M
UID	CHAR	15	O
IT CIRCLE	CHAR	15	O

Fourth Line (Third Account Holder)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
04	NUMBER	2	M (Line Number of the record)
BO NAME	CHAR	100	M
BO MIDDLE NAME	CHAR	20	O
CUST SEARCH NAME	CHAR	20	M
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
HLDR FATHER HUSBAND NAME	CHAR	50	O
INCOME TAX PAN	CHAR	10	M
UID	CHAR	15	O
IT CIRCLE	CHAR	15	O

Fifth Line (Product Details):

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
05	NUMBER	2	M (LINE NUMBER OF THE RECORD)
DATE OF MATURITY	DATE	DATE	O
DP INTERNAL REF NUMBER	CHAR	10	O
DATE OF BIRTH/ORIGIN	DATE	DATE	O
SEX CODE	CHAR	1	O (**F**EMALE/**M**ALE)
OCCUPATION	CHAR	4	O
LIFE STYLE	CHAR	4	O
GEOGRAPHICAL CODE	CHAR	4	O
EDUCATION/DEGREE	CHAR	4	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
ANNUAL INCOME CODE	NUMBER	4	O
NATIONALITY CODE	CHAR	3	O
LEGAL STATUS CODE	NUMBER	2	O
BO FEE TYPE	NUMBER	2	O
LANGUAGE CODE	NUMBER	2	O
CATEGORY 4 CODE	NUMBER	2	O
BANK OPTION 5	NUMBER	2	O
STAFF / RELATIVE	CHAR	1	**O** (**S**TAFF/**R**ELATIVE/**N**ONE)
STAFF CODE	CHAR	10	O
25 BYTE ALPHANUMERIC CODE 1 USER TEXT 1	CHAR	*39*	O
Family Account Flag	*CHAR*	*1*	*O* *(Y / N)* *By default it will be 'N'*
EMAIL STATEMENT FLAG	CHAR	1	O (Y/N) IF EMAIL STATEMENT FLAG IS Y, FIRST HOLDER EMAIL IS MANDATORY BY DEFAULT IT WILL BE 'N'
CAS MODE	CHAR	2	O NO: CAS NOT REQUIRED PH: PHYSICAL CAS REQUIRED BY DEFAULT IT WILL BE 'PH'
MENTAL DISABILITY	CHAR	1	O (Y/N) IF MENTAL DISABILITY IS Y , GUARDIAN DETAILS IS MANDATORY BY DEFAULT IT WILL BE 'N'
Filler 1	CHAR	1	O
RGESS FLAG	CHAR	1	O
ANNUAL REPORT FLAG	CHAR	1	O
PLEDGE STANDING INSTRUCTION FLAG	CHAR	1	O
EMAIL RTA DOWNLOAD FLAG	CHAR	1	O
BSDA FLAG	CHAR	1	O (IF NO VALUE ENTERED, THEN DEFAULT VALUE SHALL BE 'N')
25 BYTE ALPHANUMERIC CODE 2 USER TEXT 2	CHAR	50	O
NUMERIC 4 (DUMMY)	NUMBER	4	O
NUMERIC 4 (DUMMY)	NUMBER	4	O
NUMERIC 4 (DUMMY)	NUMBER	4	O
SECURITY ACCESS CODE	NUMBER	2	O
BO CATEGORY	NUMBER	2	M

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
BO SETTLEMENT PLANNING FLAG	CHAR	1	M
DIVIDEND BANK IFSC CODE	CHAR	15	O
RBI REFERENCE NUMBER	CHAR	30	O
RBI APPROVAL DATE	DATE	DATE	O
SEBI REGISTRATION NUMBER	CHAR	24	O
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2	O
SMART CARD REQUIRED	CHAR	1	O (Y /N)
SMART CARD NUMBER	CHAR	20	O
SMART CARD PIN	NUMBER	10	O
ECS/MANDATE	CHAR	1	O
ELECTRONIC CONFIRMATION	CHAR	1	O (Y /N)
DIVIDEND CURRENCY	NUMBER	6	O
GROUP CODE	CHAR	8	O
BO SUB STATUS	NUMBER	4	M
CLEARING CORPORATION ID	NUMBER	4	O
CLEARING MEMBER ID	CHAR	8	O
STOCK EXCHANGE	NUMBER	2	O
CONFIRMATION WAIVED	CHAR	1	O
TRADING ID	CHAR	8	O
BO STATEMENT CYCLE CODE	CHAR	2	M
CUSTODIAN / PMS EMAIL ID	*CHAR*	*50*	*O*
DIVND BANK ACCT TYPE	CHAR	12	M
DIVND BANK CODE	CHAR	12	M
DIVND ACCT NUMB	CHAR	20	M
DIVND BANK CCY	NUMBER	6	M

Annual Report Flag can have following values:

1	Physical Annual Report
2	Electronic Annual Report
3	Both Physical and Electronic Annual Report

Sixth Line (POA Link details):

Separate line to link POA for each holder with purpose code 0001, 0002, 0003 or single line to link POA for all holders with purpose code 0004.

This line can be repeated multiple times as 1st, 2nd and 3rd Holders can have multiple POAs.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
06	NUMBER	2	M (LINE NUMBER OF THE RECORD)
POA ID (POA REGISTRATION NO.)	CHAR	16	M
SETUP DATE	DATE	DATE	M
POA TO OPERATE A/C	CHAR	1	O (Y / N)
GPA/BPA FLAG	CHAR	1	M (B/ G)
EFFECTIVE FROM DATE	DATE	DATE	M
EFFECTIVE TO DATE	DATE	DATE	O
USER FIELD 1	NUMBER	4	O
USER FIELD 2	NUMBER	4	O
CA CHARFLD (REMARK)	CHAR	50	O
FILLER 1	CHAR	100	O
FILLER 2	CHAR	20	O
FILLER 3	CHAR	20	O
FILLER 4	CHAR	10	O
FILLER 5	CHAR	10	O
FILLER 6	CHAR	50	O
FILLER 7	CHAR	30	O
FILLER 8	CHAR	30	O
FILLER 9	CHAR	30	O
FILLER 10	CHAR	25	O
FILLER 11	CHAR	25	O
FILLER 12	CHAR	25	O
FILLER 13	CHAR	10	O
FILLER 14	CHAR	1	O
FILLER 15	CHAR	17	O
FILLER 16	CHAR	1	O
FILLER 17	CHAR	17	O
FILLER 18	CHAR	100	O
FILLER 19	CHAR	17	O
FILLER 20	CHAR	10	O
FILLER 21	CHAR	15	O
FILLER 22	CHAR	15	O
FILLER 23	CHAR	50	O
USER TEXT 1 POA Master ID (16 digit)	CHAR	50	M
FILLER 24	CHAR	50	O
USER FIELD 3 (POA LINK PURPOSE	NUMBER	4	M

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
CODE)			
FILLER 25	NUMBER	4	O
FILLER 26	NUMBER	4	O
FILLER 27	CHAR	1	O

Seventh Line is for Permanent Address Details purpose code should be 12

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N = 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE (12)	NUMBER	2	M
BO NAME	CHAR	100	M
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	M
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
ADDRESS LINE 1	CHAR	30	M
ADDRESS LINE 2	CHAR	30	O
ADDRESS LINE 3	CHAR	30	O
CITY	CHAR	25	M
STATE	CHAR	25	M
COUNTRY	CHAR	25	M
ZIP	CHAR	10	M
PH 1 INDC	CHAR	1	O
PH 1	CHAR	17	O
PH 2 INDC	CHAR	1	O
PH 2	CHAR	17	O
ADDITIONAL PHONE	CHAR	100	O
FAX	CHAR	17	O
INCOME TAX PAN	CHAR	25	O
IT CIRCLE	CHAR	15	O
CUST E-MAIL	CHAR	50	O
USER TEXT 1	CHAR	50	O
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
USER FIELD 4	NUMBER	4	O
USER FIELD 5	NUMBER	4	O

Eight line onwards lines can be added to enter Additional Name and Address for following purpose codes

- **Purpose Code 06 –   Nominee Name and address**
- **Purpose Code 07 –   Guardian Name and address**

- **Purpose Code 08 – Nominee's Guardian Name and address**
- **Purpose Code 18 – Authorized Signatory**

This line is mandatory for Corporate / HUF / Bank / FI / Trust type of accounts.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE	NUMBER	2	M
BO NAME	CHAR	100	M
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	M
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
FATHER HUSBAND NAME	CHAR	50	O
ADDRESS LINE 1	CHAR	30	M
ADDRESS LINE 2	CHAR	30	O
ADDRESS LINE 3	CHAR	30	O
CITY	CHAR	25	M
STATE	CHAR	25	M
COUNTRY	CHAR	25	M
ZIP	CHAR	10	M
PH 1 INDC	CHAR	1	O
PH 1	CHAR	17	O
PH 2 INDC	CHAR	1	O
PH 2	CHAR	17	O
ADDITIONAL PHONE	CHAR	92	O
DATE OF BIRTH	DATE	DATE	O
FAX	CHAR	17	O
INCOME TAX PAN	CHAR	10	O
UID	CHAR	15	O
IT CIRCLE	CHAR	15	O
E-MAIL	CHAR	50	O
USER TEXT 1	CHAR AS REMARKS	50 AS REMARKS	O
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
USER FIELD 4	NUMBER	4	O
USER FIELD5	NUMBER	4	O

The following line is added to the File format for SMS File Setup Registration

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE 16 FOR SMS	NUMBER	2	M

REGISTRATION			
UPLOAD FLAG	CHAR	1	M (VALUE WILL BE "S" ONLY)
MOBILE NUMBER	CHAR	10	M
EMAIL ID	CHAR	100	O
REMARKS	CHAR	100	O
PUSH PULL FLAG	CHAR	1	O (DEFAULT VALUE ="P")

The following lines are required for Payin Through SMS in file

(To opt for SMS pay-in facility SMS registration is mandatory i.e. purpose code 16 should be present)

Header Record:

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE 19 FOR PAYIN THROUGH SMS	NUMBER	2	M
LINE NO. FOR HEADER RECORD	NUMBER	2	M (The value will be 00)
UPLOAD FLAG	CHAR	1	M (VALUE WILL BE "S" ONLY)
SMS PAY IN FLAG	CHAR	1	M(VALUE WILL BE "Y/N")
NO. OF RECORDS	NUMBER	2	O (Enter two space for optional)

If BO is not opting for Payin through SMS facility this line need not be given. If entered SMS payin flag should *be 'N'*

Detailed Record:

This is not required if SMS pay-in Flag is 'N' or SMS payin flag is 'Y' and no. of records is left blank.

if SMS pay-in flag is 'Y' and no. of records are mentioned detail records line is mandatory.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE 19 FOR PAYIN THROUGH SMS	NUMBER	2	M
LINE NO. FOR DETAILED RECORD	NUMBER	2	M (The value will be 01,02 so on...)
EX ID	NUMBER	2	M
CM ID	CHAR	8	M

The following line is added to the File format for Mapping of CM Accounts With CM POA Master.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE 21 Mapping of CM Accounts With CM POA Master.	NUMBER	2	M
MAPPING UNMAPPING FLAG	NUMBER	1	M 1 - MAP 3 - MAP ALL CM ACCOUNTS)
EX ID	NUMBER	2	O
CM ID	CHAR	8	O
CM Account To Be Mapped	CHAR	16	O Mandatory if MAPPING UNMAPPING FLAG = 1

NOTES:

- No field separators should be used.

- Spaces should be used in case if a field does not have a value.

- All numeric fields should be right justified with zero padding.

- All alphanumeric fields should be left justified with padding as spaces.

- For BO setup, every detail record will contain the following lines. If a line contains no data, then that line will not be written. Line numbers 01, 02, 05 and 07 are mandatory.

- For POA setup, every detail record mandatory Line Numbers are 01 , 02 , 05 only

- For PAN verification flag value is

    - For Only first Holder   - 1000

    - For first and second holder   - 1100

    - For All Holders   - 1110

    - For second and third Holder - 0110

- For Minor account

    - Guardian details are mandatory

    - Minor account should have only first holder

- For Mantra Accounts

    - POA link for all holder details are mandatory

    - In 06 line for POA link details, effective to date should be '0000000'

- POA Link Details

    - Purpose code for POA link are -

        - 0001 for First Holder

- 0002 for Second Holder
- 0003 for Third Holder
- 0004 for All Holders
  - 16 Digit POA Master ID will be entered in User text 1 field
  - Purpose code specify in user field 3
- Product Details
  - ECS/Mandate flag is 'Y' then must specify correct Dividend bank details
- The additional name and address record line is not mandatory except for Corporate / HUF / CM / Minor and there can be one or more additional lines
- For Authorized signatory, in BO name specify Authorized signatory Name and in User Text 1 specify remarks. Other Field details not required for Authorized signatory
- Authorized signatory details mandatory for Corporate / HUF type of accounts / CM
- Signature File Details
- If Signature file flag is 'N' then signature file is not required. If the signature flag is `Y', then the signature file should be present in same folder. The signature file in image file extension can be - ***.jpg / *.bmp / *.gif / *.tif / *.jpeg / *.tiff / *.pic / *.pnj / *.png**
- The naming convention of signature file should be as below
  - b + file extension + serial number + IMAGE FILENAME. file extension
  - For BO bxxxyyyyfilename.bmp
    - Where xxx is 3-digit file extension
    - yyyy is 4-digit serial number of the Bo record
    - e.g. DP is uploading file with 2 signatures with filename as 08010601.0123 and signature flag is 'Y' in second line (first account holder details of the first record), then naming convention for signature will be as follows
      - b0123<0001><filename1><image Filename>.bmp (or any valid extension)
      - b0123<0001><filename2>><image Filename>.bmp (or any valid extension)
- the signature image file should exist in the same path where the data file exists
- the name of the folder in which files exist should be a single word without any spaces and folder name length should be less than or equal to '8'
- The name of the folder can be "botest" and not as "bo test".
- For SMS registration signature file must be present and signature flag should be 'Y'.
- For BSDA account, SMS registration is mandatory.
- The PAN of the first holder of the proposed BSDA account is not existing as the PAN of first holder in another CDSL account with status 'Active/to be closed'.
- If annual report flag is '2' (Electronic) or 3 'Both Physical And Electronic' , first holder email id should exists

- For purpose code 19, if No of records field value is not present in Header Record then the detailed record will not required (when SMS PAYING FLAG is 'Y'). If value is entered in "Number of records" field then detail records equal to the value entered should be present.

- If SMS PAY IN FLAG is 'N' then No of records should not be present (should be blank).

- If SMS PAYING FLAG is 'Y' then No of records may or may not be present.

- For SMS registration line no. 16 is required

- For Trust registration line no 16 and 19 is required

- If BO is not opting for TRUST facility, line with purpose code19 need not be given. If entered value of SMS pay in flag should be 'N'.

- If Mental Disability Flag is 'Y', then the Guardian Details will be mandatory.

- If Email Statement Flag is 'Y' and CAS mode is blank, then CAS Mode will be updated to EC (eCAS).

- *BO REQUEST RECEIPT DATE- Date (with time) on which request received from BO.*

- *Mapping of CM Accounts With CM POA Master*
  - *The purpose code 21 can be repeated for mapping of multiple CM Accounts with CM POA Master, but the line number should be in incremental order.*

- *The Family Account Flag will be applicable only for BO and not for POA. Default value for the Family Account Flag will be 'N'.*

- *The Custodian Email ID will be applicable only for BO and not for POA*

FILE FORMAT: BO MODIFY UPLOAD

File Name : <11><DP ID 6 DIGIT>.<3 to 5 digits running serial number>

Input Type : [**M**] = Mandatory

[**O**] = Optional

[**N**] = Non-Modifiable

Header Record: This record will contain following fields:

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
DP ID	NUMBER	6	M
OPERATOR ID	CHAR	6	M
TOTAL NO. OF RECORDS	NUMBER	5	M

Detail Record: Every detail record will contain following lines. If a line contains no data then that line will not be written. Only Line number 01 is mandatory.

First Line

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
01	NUMBER	2	M (Line Number of the record)
BOID	NUMBER	16	M
BO REQUEST RECEIPT DATE	DATE	14	M

Second Line (First Account Holder)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
02	NUMBER	2	M (Line Number of the record)
PRODUCT NUMBER	NUMBER	4	N (Not Modifiable)
BO NAME	CHAR	100	O
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	O
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
ADDRESS 1	CHAR	30	O
ADDRESS 2	CHAR	30	O
ADDRESS 3	CHAR	30	O
CITY	CHAR	25	O
STATE	CHAR	25	O
COUNTRY	CHAR	25	O
ZIP	CHAR	10	O
PHONE 1 INDICATOR	CHAR	1	O
PHONE 1	CHAR	17	O
PHONE 2 INDICATOR	CHAR	1	O
PHONE 2	CHAR	17	O
ADDITIONAL PHONE	CHAR	100	O
FAX	CHAR	17	O
INCOME TAX PAN	CHAR	10	O

UID	CHAR	*12*	O
POA Type Flag	*CHAR*	*1*	*O* *(C / R)* *Mandatory for POA Master Creation* *If POA Type Flag is 'C', then Purpose Code 21 details will be mandatory for CM POA.* *If POA Type Flag is 'R', then it will be considered as a Regular POA*
NAME CHANGE REASON CODE	CHAR	2	O (Mandatory if BO NAME is changed)
IT CIRCLE	CHAR	15	O
E-MAIL	CHAR	50	O
USER TEXT 1	CHAR	50	O
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
PAN EXEMPTION CODE	CHAR	4	O 1st character – 1st Holder PAN Exemption Code 2nd character – 2nd Holder PAN Exemption Code 3rd character – 3rd Holder PAN Exemption Code
PAN VERIFICATION FLAG	NUMBER	4	O 1st character – 1st Holder PAN Verification Code 2nd character – 2nd Holder PAN Verification Code 3rd character – 3rd Holder PAN Verification Code
SIGNATURE FILE FLAG	CHAR	1	N (Not Modifiable)

Third Line (Second Account Holder)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
03	NUMBER	2	M (Line Number of the record)
NAME	CHAR	100	O
MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	O
TITLE	CHAR	10	O
SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
INCOME TAX PAN	CHAR	10	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
UID	CHAR	13	O
NAME CHANGE REASON CODE	CHAR	2	O (Mandatory if BO name is changed)
IT CIRCLE	CHAR	15	O

Fourth Line (Third Account Holder)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
04	NUMBER	2	M (Line Number of the record)
NAME	CHAR	100	O
MIDDLE NAME	CHAR	20	O
LAST/ SEARCH NAME	CHAR	20	O
TITLE	CHAR	10	O
SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
INCOME TAX PAN	CHAR	10	O
UID	CHAR	13	O
NAME CHANGE REASONS CODE	CHAR	2	O (Mandatory if BO name is changed)
IT CIRCLE	CHAR	15	O

Fifth Line (Product details) –

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
05	NUMBER	2	M (LINE NUMBER OF THE RECORD)
DATE OF MATURITY	DATE	O	N (NOT MODIFIABLE)
DP INTERNAL REF NUMBER	CHAR	10	O
DATE OF BIRTH/ORIGIN	DATE		M (MODIFIABLE)
SEX CODE	CHAR	1	O (FEMALE/MALE)
OCCUPATION	CHAR	4	O
LIFE STYLE	CHAR	4	N (NOT MODIFIABLE)
GEOGRAPHICAL CODE	CHAR	4	O
EDUCATION/DEGREE	CHAR	4	O
ANNUAL INCOME CODE	NUMBER	4	O
NATIONALITY CODE	CHAR	3	O
LEGAL STATUS CODE	NUMBER	2	N (NOT MODIFIABLE)
BO FEE TYPE	NUMBER	2	N (NOT MODIFIABLE)
LANGUAGE CODE	NUMBER	2	O
CATEGORY 4 CODE	NUMBER	2	N (NOT MODIFIABLE)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
BANK OPTION 5	NUMBER	2	N (NOT MODIFIABLE)
STAFF / RELATIVE	CHAR	1	O (STAFF / RELATIVE)
STAFF CODE	CHAR	10	O
25 BYTE ALPHANUMERIC CODE 1 USER TEXT 1	CHAR	*39*	N (NOT MODIFIABLE)
FAMILY ACCOUNT FLAG	*CHAR*	*1*	*O (Y / N) By default it will be 'N'*
Email Statement Flag	Char	1	O (Y/N) By default it will be 'N'
CAS Mode	Char	2	O NO: CAS not required PH: Physical CAS required By default it will be 'PH'
Mental Disability	Char	1	O Y/N If Mental Disability is Y , Guardian details is mandatory By default it will be 'N'
Preferred Depository Flag For CAS	Char	1	C:CDSL- CDSL only can update flag to 'C' only if CAS flag is 'DN' N: NSDL (DP/CDSL can update flag to 'N' only if CAS flag is 'DC') By default it will be NULL(if not indicated by BO)
RGESS FLAG	CHAR	1	O
ANNUAL REPORT FLAG	CHAR	1	O
PLEDGE STANDING INSTRUCTION FLAG	CHAR	1	O
EMAIL RTA DOWNLOAD FLAG	CHAR	1	O
BSDA FLAG	CHAR	1	O
25 BYTE ALPHANUMERIC CODE 2 USER TEXT 2	CHAR	50	N (NOT MODIFIABLE)
NUMERIC 4 (DUMMY)	NUMBER	4	N (NOT MODIFIABLE)
NUMERIC 4 (DUMMY)	NUMBER	4	N (NOT MODIFIABLE)
NUMERIC 4 (DUMMY)	NUMBER	4	N (NOT MODIFIABLE)
SECURITY ACCESS CODE	NUMBER	2	O
BO CATEGORY	NUMBER	2	N (NOT MODIFIABLE)
BO SETTLEMENT PLANNING FLAG	CHAR	1	O
DIVIDEND BANK IFS CODE	CHAR	15	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
RBI REFERENCE NUMBER	CHAR	30	O
RBI APPROVAL DATE	DATE	8	O
SEBI REGISTRATION NUMBER	CHAR	24	O
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2	O
SMART CARD REQUIRED	CHAR	1	N (NOT MODIFIABLE)
SMART CARD NUMBER	CHAR	20	N (NOT MODIFIABLE)
SMART CARD PIN	NUMBER	10	N (NOT MODIFIABLE)
ECS/MANDATE	CHAR	1	M (MODIFIABLE)
ELECTRONIC CONFIRMATION	CHAR	1	O (Y /N)
DIVIDEND CURRENCY	NUMBER	6	O
GROUP CODE	CHAR	8	O
BO SUB STATUS	NUMBER	4	O
CLEARING CORPORATION ID	NUMBER	4	N (NOT MODIFIABLE)
CLEARING MEMBER ID	CHAR	8	N (NOT MODIFIABLE)
STOCK EXCHANGE	NUMBER	2	N (NOT MODIFIABLE)
CONFIRMATION WAIVED	CHAR	1	O
TRADING ID	CHAR	8	N (NOT MODIFIABLE)
BO STATEMENT CYCLE CODE	CHAR	2	O
CUSTODIAN / PMS EMAIL ID	*CHAR*	*50*	*O*
DIVIDEND BANK ACCT TYPE	CHAR	12	O
DIVIDEND BANK CODE	CHAR	12	O
ACCOUNT NUMBER	CHAR	20	O
ACCOUNT CURRENCY	NUMBER	6	O

Sixth Line (POA Link details): Separate Line to link POA for each holder with Purpose code 0001, 0002 & 0003 or single line to link POA for All holders with purpose code 0004.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
06	NUMBER	2	M (Line Number of the record)
POA Register Number	CHAR	16	N Not Modifiable
SETUP DATE	DATE	8	N Not Modifiable
POA to OPERATE A/C	CHAR	1	O (Y / N)
GPA/BPA FLAG	CHAR	1	O (B/ G)
EFFECTIVE FROM DATE	DATE	8	O
EFFECTIVE TO DATE	DATE	8	O
USER FIELD 1	NUMBER	4	O
USER FIELD 2	NUMBER	4	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
REMARKS	CHAR	50	O
FILLER 1	CHAR	100	N (Not Modifiable)
FILLER 2	CHAR	20	N (Not Modifiable)
FILLER 3	CHAR	20	N (Not Modifiable)
FILLER 4	CHAR	10	O
FILLER 5	CHAR	10	O
FILLER 6	CHAR	50	O
FILLER 7	CHAR	30	O
FILLER 8	CHAR	30	O
FILLER 9	CHAR	30	O
FILLER 10	CHAR	25	O
FILLER 11	CHAR	25	O
FILLER 12	CHAR	25	O
FILLER 13	CHAR	10	O
FILLER 14	CHAR	1	O
FILLER 15	CHAR	17	O
FILLER 16	CHAR	1	O
FILLER 17	CHAR	17	O
FILLER 18	CHAR	100	O
FILLER 19	CHAR	17	O
FILLER 20	CHAR	25	O
FILLER 21	CHAR	15	O
FILLER 22	CHAR	50	O
16 Digit POA Master ID	CHAR	16	M
User Text 1	CHAR	34	O
FILLER 23	CHAR	50	O
Purpose Code	NUMBER	4	M
Transaction Type	CHAR	4	M Transaction Type Identifier S-Setup M-Modify D-delete
FILLER 24	NUMBER	4	O
SIGNATURE FILE FLAG	CHAR	1	N (Not Modifiable)

Seventh Line: For permanent address

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N = 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE	NUMBER	2	M PURPOSE CODE = 12
NAME	CHAR	100	N (Not Modifiable)
MIDDLE NAME	CHAR	20	N (Not Modifiable)
LAST / SEARCH NAME	CHAR	20	N (Not Modifiable)
TITLE	CHAR	10	O
SUFFIX	CHAR	10	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
FATHER / HUSBAND NAME	CHAR	50	O
ADDRESS 1	CHAR	30	O
ADDRESS 2	CHAR	30	O
ADDRESS 3	CHAR	30	O
CITY	CHAR	25	O
STATE	CHAR	25	O
COUNTRY	CHAR	25	O
ZIP	CHAR	10	O
PHONE 1 INDICATOR	CHAR	1	O
PHONE 1	CHAR	17	O
PHONE 2 INDICATOR	CHAR	1	O
PHONE 2	CHAR	17	O
ADDITIONAL PHONE	CHAR	100	O
FAX	CHAR	17	O
INCOME TAX PAN	CHAR	25	O
IT CIRCLE	CHAR	15	O
EMAIL	CHAR	50	O
USER TEXT 1	CHAR	50	O
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
USER FIELD 4	CHAR	4	O Transaction Type Identifier S-Setup M-Modify
USER FIELD5	NUMBER	4	O

Eight line onwards lines can be added to enter Additional Name and Address for following purpose codes
- Purpose Code 06 –   Nominee Name and address
- Purpose Code 07 –   Guardian Name and address
- Purpose Code 08 –   Nominee's Guardian Name and address
- Purpose Code 18 –   Authorized Signatory

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE	NUMBER	2	M
NAME	CHAR	100	N (Not Modifiable)
MIDDLE NAME	CHAR	20	N (Not Modifiable)
LAST / SEARCH NAME	CHAR	20	N (Not Modifiable)
TITLE	CHAR	10	O
SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
ADDRESS 1	CHAR	30	O
ADDRESS 2	CHAR	30	O
ADDRESS 3	CHAR	30	O
CITY	CHAR	25	O
STATE	CHAR	25	O
COUNTRY	CHAR	25	O
ZIP	CHAR	10	O
PHONE 1 INDICATOR	CHAR	1	O
PHONE 1	CHAR	17	O
PHONE 2 INDICATOR	CHAR	1	O
PHONE 2	CHAR	17	O
ADDITIONAL PHONE	CHAR	92	O
DATE OF BIRTH	DATE	DATE	O
FAX	CHAR	17	O
INCOME TAX PAN	CHAR	10	O
UID	CHAR	15	O
IT CIRCLE	CHAR	15	O
MAIL	CHAR	50	O
USER TEXT 1 (AS Remarks)	CHAR	50	O
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
USER FIELD 4	CHAR	4	M Transaction type identifier S-setup M-modify D-delete
USER FIELD5	NUMBER	4	O For AS Na Seq numb of AS

The following line is added to the File format for SMS File Setup/Modify Registration / De-registration:

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE	NUMBER	2	M (VALUE IS 16)
UPLOAD FLAG	CHAR	1	M (VALUE WILL BE "S" OR "M" OR "D")
MOBILE NUMBER	CHAR	10	M (SETUP) / O (MODIFY)
EMAIL ID	CHAR	100	O
REMARKS	CHAR	100	O
PUSH PULL FLAG	CHAR	1	O (DEFAULT VALUE ="P")

The following lines are added to the File Format for Payin Through SMS

Header Record for TRUST registration

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE 19 FOR PAYIN THROUGH SMS	NUMBER	2	M
LINE NO. FOR HEADER RECORD	NUMBER	2	M (Value will be 00)
SMS PAYIN FLAG	CHAR	1	Registration / De-Registration Flag O(VALUE WILL BE "Y/N")

Header Record for EX ID / CM ID registration / de-registration

This line is required only for EXID / CM ID registration / de-registration

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE 19 FOR PAYIN THROUGH SMS	NUMBER	2	M
LINE NO. FOR 2nd HEADER RECORD	NUMBER	2	M (Value will be 01)
UPLOAD FLAG	CHAR	1	M(VALUE WILL BE "S/D" – setup/Delete)
NO OF RECORDS	NUMBER	2	M for upload flag 'S' and For 'D' its optional.

Detailed Record for EX ID / CM ID addition

This line is required if No of records in header record is > 0

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE 19 FOR PAYIN THROUGH SMS	NUMBER	2	M
LINE NO. FOR DETAILED RECORD	NUMBER	2	M(Value will be 02)
SEQUENCE NUMBER	NUMBER	2	M (Value will be 01, 02 etc...) This value should be equal to No. of records given in header Record.
EX ID	NUMBER	2	M
CM ID	CHAR	8	M

The following line is added to the File format for Mapping of CM Accounts With CM POA Master.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE 21 Mapping of CM Accounts With CM POA Master.	NUMBER	2	M
MAPPING UNMAPPING FLAG	NUMBER	1	1- Mapping 2-Unmapping 3- Map All CM Accounts
EX ID	NUMBER	2	O
CM ID	CHAR	8	O
CM Account To Be Mapped	CHAR	16	O Mandatory if MAPPING UNMAPPING FLAG is 1 or 2

NOTES:

➤ No field separators should be used.

➤ Spaces should be used in case if a field does not have a value.

➤ All numeric fields should be right justified with zero padding.

➤ All alphanumeric fields should be left-justified with padding as spaces.

➤ Only the fields to be modified should be entered in the file.

➤ The Non-Modifiable fields should be entered as spaces.

➤ If any field is to be modified to blank or zeroes, then @ should be entered.

➤ For e.g. if the BO Title is to be modified to blanks, then @ should be entered 10 times as the length of the field is 10. @@@@@@@@@@

➤ For SMS Registration setup or modify, line 02 is not required.

➤ DP is allowed to modify PAN, PAN Exemption Code and PAN Verification Code when PAN verification code is '0'

➤ If PAN Exemption Code is 'C', then only DP is allowed to change PAN, PAN Exemption Code and PAN Verification Code.

➤ For Permanent address modification User Field 4 is M or blank

➤ Purpose code for POA link are -

    1. 0001 for First Holder

    2. 0002 for Second Holder

    3. 0003 for Third Holder

4. 0004 for All Holders – only for POA link setup

➢ For POA Master ID name and address modify through second line.

➢ For Mantra accounts, in 06 line for POA link, Effective To Date should be 00000000

➢ For deletion of POA Link details Specify holder purpose code and POA Master id only for active POA Link

➢ For modification of PAN for 2nd or 3rd holder, the PAN Verification Flag to be given in Line "02" (second line).

➢ Product Details

- ECS Mandate flag is 'Y' then must specify correct Dividend bank details

➢ For minor to major bo sub status

- Specify major BO sub status
- Specify delete guardian details

➢ SMS register if BO wants to register for Trust facility Purpose code 19 (Header record 1) with SMS flag as 'Y' is required

- And in Purpose Code 19 (Detail Record) provide CM ID and EX ID.

➢ Changes for CAS and Mental Disability Flag

- If Mental Disability Flag is 'Y', then the Guardian Details will be mandatory
- For Preferred Depository Flag for CAS, it has the below 2 conditions:

  C: CDSL- (CDSL only can update flag to 'C' only if CAS flag is 'DN')
  N: NSDL (DP/CDSL can update flag to 'N' only if CAS flag is 'DC')
  By default it will be NULL (if not indicated by BO)

➢ *BO REQUEST RECEIPT DATE- Date (with time) on which request received from BO.*

➢ *Mapping of CM Accounts With CM POA Master*

- *The purpose code 21 can be repeated for mapping of multiple CM Accounts with CM POA Master, but the line number should be in incremental order.*

➢ *The Family Account Flag will be applicable only for BO and not for POA.*

➢ *The Custodian Email ID will be applicable only for BO and not for POA*

File Name   **:** 06<6 digit DP ID>.<3 to 5 digits running serial number>

Input Type   **:** **M**andatory **/ O**ptional

Control record   **:**

This record will contain:

FIELD DESCRIPTION	FIELD SIZE	INPUT TYPE
DP ID	M	NUMBER (6)
OPERATOR ID	M	VARCHAR2 (6)
TOTAL NO. OF RECORDS	M	NUMBER (5)

Every detail record will contain following lines:

FIELD DESCRIPTION	INPUT TYPE	FIELD SIZE	Remarks
TXN TYPE	M	CHAR (1)	'S' – Setup BO Signature 'R' – Replace BO Signature 'D' - Delete BO Signature 'P' - Setup POA Signature 'O' – Replace POA Signature 'A' - Delete POA Signature
BO ID/POA ID	M	NUMBER (16)	BO ID (If Txn type is 'S' or 'R' or 'D') POA ID (If Txn type is 'P' or 'O' or 'A')
Image File Name	M	CHAR(20)	In case of Bo signature setup or POA signature setup it should be name of the signature file user wants to setup. In case of replace it will be name of the signature file user wants to replace In case of delete it will be name of the signature file user wants to delete
New Image File Name	O	CHAR(20)	In case of setup and delete this field is optional. In case of replace this field should contain name of the new signature file
BO Request Receipt Date	*O*	*Date(14)*	*Date (with time) on which request received from BO Mandatory for TXN TYPES – R, D, O & A*

Filler1	O	CHAR(50)	
Filler2	O	NUMBER(4)	

- No field separators should be used.
- All numeric fields should be right justified with zero padding.
- The signature file in bmp format should exist in the same path where the data file exists. The name of the folder in which files exist should be a single word [eight characters] without any spaces.

  eg: The name of the folder can be "botest" and not as "bo test".
- The naming convention for signature file is as follows:

  For BO and POA Siganture setup:

  B<BO Id or POA ID>< Image File Name>.bmp(valid extension)

  For BO or POA replace:

  B< BO Id or POA ID ><Image file Name>.bmp(any valid image extn)
- The Image Signature File Extension can be:

   *.jpg / ***.bmp** / ***.gif** / ***.tif** / ***.jpeg** / ***.tiff** / ***.pic** / ***.pnj** / ***.png**

BO Closure Upload File Format

File Name   :   13<DP ID>.<3 to 5 digits running serial number>
Upload ID = 13

Input Type   :   **M**andatory**/ O**ptional

This record will contain:

FIELD DESCRIPTION	FIELD SIZE	FIELD TYPE
DP ID	M	NUMBER(6)
OPERATOR ID	M	VARCHAR2(6)
TOTAL NO. OF RECORDS	M	NUMBER(5)

Detail record:

Every detail record will contain single line.

FIELD DESCRIPTION	FIELD SIZE	TRANSACTION TYPE			REMARKS
		Setup	Cancel	Approval	
BOID (Unique)	CHAR(16)	M	M	M	16 digit Valid BOID
Transaction Type	CHAR(1)	M	M	M	**Possible Values** '**S**' – Setup Closure '**C' –** Cancel Closure '**A**' – Closure Approved by DP
Closure Initiated By	NUMBER(1)	M	NA	NA	**Possible Values** **1** – Initiated By BO **2 –** Initiated By DP
Closure Reason Code *(This is a User Defined Field Code and will automatically include new values)*	NUMBER(2)	M	NA	NA	**Possible Values** 91 – Account Transfer. 92 – Transfer to Other DP 93 – Violation With Agreement With DP 94 – Non Payment Of Dues 95 – Violation Of Provision By BO 96 – Termination OF DP 97 – Suspension Of DP 98 – Close Because Of DP Closure 99 – User Defined Text
Remaining Balances	CHAR(1)	M	NA	NA	**Possible Values** B – Both Rematerialized

FIELD DESCRIPTION	FIELD SIZE	TRANSACTION TYPE			REMARKS
		Setup	**Cancel**	**Approval**	
					And Transferred. N – Not Applicable. R – Rematerialized. T – Transfer.
New BO ID	CHAR(16)	O	NA	NA	If Remaining Balances value is '**B**' or '**T**' then BOID is Mandatory.
Remarks	CHAR2(100)	O	NA	NA	
Req Int Ref No.	CHAR2(16)	M	NA	NA	
BO Request Receipt Date	*DATE(14)*	*O*	*O*	*NA*	*Date (with time) on which request received from BO Mandatory for Closure Initiated by BO*
Filler1	*CHAR(50)*	*O*	*O*	*O*	
Filler2	*Number(4)*	*O*	*O*	*O*	

Transaction Description	BOID (Unique)	Transaction Type	Closure Initiated By	Closure Reason Code	Remaining Balances	Transfer BO ID	Remarks	Required Internal Reference No.	*BO Request Receipt Date*
Setup Closure	✓	S	✓	✓	✓	○	○	✓	✓
Cancel Closure	✓	C	✗	✗	✗	✗	✗	✗	✓
Approve Closure	✓	A	✗	✗	✗	✗	✗	✗	✗

✓ - Compulsory

✗ - Not Required

○ - Optional

❑ No field separators should be used.

❑ Spaces should be used in case a field does not have a value.

❑ All numeric fields should be right justified with zero padding

❑ All alphanumeric fields should be left justified with padding as spaces.

Report Documentation

Report ID   :   **DPS8 (Format similar to DPB9)**

Report Description   :   **BO DETAILS**

Module   :   **BO**

Purpose Code	Description
0	BO ID
1	Name, Correspondence Address, PAN details of first / sole Holder and other details applicable for the product & present in line 5 of BO upload
2	Name, PAN details of second holder
3	Name, PAN details of third holder
4	BO level Freeze Details. If multiple BO level freeze requests are present for the account multiple records will appear
5	POA link details – Multiple lines will be present if the account is linked with more than one POA
6	Nominee Name and Address details
7	Guardian Name and Address details
8	Nominee's Guardian Name and Address details
12	Permanent address of First / Sole holder
16	SMS registration details
18	Name of Authorized Signatory for Corporate / HUF accounts. Multiple lines will be present, if multiple signatories are present in the account
19	Signature Setup / Modify
20	SMS Through Pay in (CM Details)
21	*CM POA Account Mapping Details*

Gaps in the purpose code numbering, given above, are deliberate.

Header Record: -

Description	Data Type	Length	Value
IDENTFIER	CHAR	1	H
DP ID	NUMBER	6	DP ID
FILLER			
BUSINESS DATE/HISTORY FROM DATE	DATE	8	DDMMYYYY
FILLER			
SYSTEM DATE AND TIME/HISTORY TO DATE	CHAR	14	DDMMYYYYHH24MISS

Purpose Code – 0 BO ID

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
BO ID	CHARACTER	16
BUSINESS DATE	DATE	8
SOURCE DP	NUMBER	6
FILLER		
FILLER		
FILLER		

Purpose Code – 1 - BO ID, Name, Address, PAN details of first / sole Holder and other details applicable for the product and present in line 5 of BO upload

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	30
ADDRESS LINE 2	CHARACTER	30

ADDRESS LINE 3	CHARACTER	30
CITY	CHARACTER	25
STATE	CHARACTER	25
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
PRIME PHONE INDICATOR	CHARACTER	1
PRIME PHONE NUMBER	CHARACTER	17
ALTERNATE PHONE INDICATOR	CHARACTER	1
ALTERNATE PHONE NUMBER	CHARACTER	17
ADDITIONAL PHONES	CHARACTER	100
FAX	CHARACTER	17
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN VERIFICATION CODE	CHARACTER	1
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
E-MAIL ID	CHARACTER	50
USER TEXT 1	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
BO ACCOUNT STATUS	NUMBER	1
FREEZE SUSPENSION FLAG	NUMBER	1
BO CATEGORY	NUMBER	2
BO CUST TYPE	NUMBER	2
BO SUB STATUS	NUMBER	4
PRODUCT CODE	NUMBER	4
CLEARING CORPORATION ID	NUMBER	4
CLEARING MEMBER ID	CHARACTER	8
STOCK EXCHANGE	NUMBER	2
TRADING ID	CHARACTER	8
BO STATEMENT CYCLE CODE	CHARACTER	2
ACCOUNT CREATION DATE	DATE	8
DP INTERNAL REFERENCE NUMBER	CHARACTER	10
CONFIRMATION WAIVED	CHARACTER	1
DATE OF BIRTH/ORIGIN	DATE	8
BO ACTIVATION DATE	DATE	8
ELECTRONIC CONFIRMATION	CHARACTER	1

ECS / MANDATE	CHARACTER	1
DIVIDEND BANK ACCOUNT NO	CHARACTER	20
DIVIDEND BANK CODE	CHARACTER	12
DIVIDEND IFS CODE	CHARACTER	11
DIVIDEND BANK CURRENCY	NUMBER	6
DIVIDEND ACCOUNT TYPE	NUMBER	2
ANNUAL INCOME CODE	NUMBER	4
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2
BO SETTLEMENT PLANNING FLAG	CHARACTER	1
EDUCATION/DEGREE	CHARACTER	4
GEOGRAPHICAL CODE	CHARACTER	4
GROUP CODE	CHARACTER	8
LANGUAGE CODE	NUMBER	3
NATIONALITY CODE	CHARACTER	3
OCCUPATION	CHARACTER	4
SECURITY ACCESS CODE	NUMBER	2
SEX CODE	CHARACTER	1
STAFF/RELATIVE	CHARACTER	1
STAFF CODE	CHARACTER	10
RBI REFERENCE NUMBER	CHARACTER	30
RBI APPROVAL DATE	DATE	8
SEBI REGISTRATION NUMBER	CHARACTER	24
CLOSURE APPROVED DATE	DATE	8
CLOSURE DATE	DATE	8
TRANSFER BO ID	CHARACTER	16
BALANCE TRANSFER	CHARACTER	1
CLOSURE REASON CODE	NUMBER	2
CLOSURE INITIATED BY	CHARACTER	1
CLOSURE REMARK	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	15
NAME MODIFICATION REASON CODE	CHARACTER	25
EMAIL STATEMENT FLAG	CHARACTER	1
CAS MODE	CHARACTER	2
PREFERRED DEPOSITORY FLAG	CHARACTER	1
TRUST REGISTER	CHARACTER	1
RGESS FLAG	CHARACTER	1

ANNUAL REPORT FLAG	CHARACTER	1
PLEDGE STANDING INSTRUCTION FLAG	CHARACTER	1
EMAIL RTA DOWNLOAD	CHARACTER	1
BASIC SERVICES DEMAT A/C	CHARACTER	1
MASTER POA TYPE FLAG	*CHARACTER*	*1*
FAMILY ACCOUNT FLAG	*CHARACTER*	*1*
CUSTODIAN/PMS EMAIL ID	*CHARACTER*	*50*
FILLER1	*CHARACTER*	*25*
FILLER2	*CHARACTER*	*25*
FILLER3	*CHARACTER*	*25*
FILLER4	*CHARACTER*	*4*
FILLER5	*CHARACTER*	*4*

Purpose Code – 2, 3 - Name, PAN details of Second, Third holder

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN VERIFICATION CODE	CHARACTER	1
IT CIRCLE /WARD/ DIST/WARD/ DISTRICT	CHARACTER	15
ADDRESS LINE 1	CHARACTER	30
ADDRESS LINE 2	CHARACTER	30
ADDRESS LINE 3	CHARACTER	30
CITY	CHARACTER	25
STATE	CHARACTER	25
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
DATE OF BIRTH/ORIGIN	DATE	8
DATE OF BIRTH OF SECOND / THIRD HOLDER	DATE	8

EMAIL	CHARACTER	50
UNIQUE IDENTIFICATION NUMBER	CHARACTER	15
NAME CHANGE REASON CODE	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1

Purpose Code – 4 - BO level Freeze Details. If multiple BO level freeze requests are present for the account multiple records will appear

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
FREEZE ID	NUMBER	8
FREEZE INITIATION DATE	DATE	8
FREEZE ACTIVATION DATE	DATE	8
FREEZE EXPIRY DATE	DATE	8
FREEZE INITIATED BY	NUMBER	1
FROZEN FOR	NUMBER	1
FREEZE REASON CODE	NUMBER	2
FREEZE STATUS	CHARACTER	1
FREEZE ACTIVATION TYPE	NUMBER	1
FREEZE SUB OPTION	NUMBER	1
FREEZE REMARKS	CHARACTER	100

Purpose Code – 5 - POA link details

Multiple lines will be present if the account is linked with more than one POA

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
MASTER POA ID	CHARACTER	16

POA REGISTRAR NUMBER	CHARACTER	16
SETUP DATE	DATE	8
GPA /BPA FLAG	CHARACTER	1
EFFECTIVE FORM DATE	DATE	8
EFFECTIVE TO DATE	DATE	8
REMARKS	CHARACTER	50
HOLDER NUMBER	NUMBER	1
POA STATUS	CHARACTER	1

Purpose Code – 6 Nominee Name and Address details

Purpose Code – 7 Guardian Name and Address details

Purpose Code – 8 Nominee's Guardian Name and Address details

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	30
ADDRESS LINE 2	CHARACTER	30
ADDRESS LINE 3	CHARACTER	30
CITY	CHARACTER	25
STATE	CHARACTER	25
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
PRIME PHONE INDICATOR	CHARACTER	1
PRIME PHONE NUMBER	CHARACTER	17
ALTERNATE PHONE INDICATOR	CHARACTER	1
ALTERNATE PHONE NUMBER	CHARACTER	17
ADDITIONAL PHONES	CHARACTER	92
FAX	CHARACTER	17
PAN/GIR	CHARACTER	25

IT CIRCLE /WARD/DISTRICT	CHARACTER	15
E-MAIL ID	CHARACTER	50
DATE OF BIRTH/ORIGIN	DATE	8
DATE OF BIRTH	DATE	8
USER TEXT 1	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
FILLER	CHARACTER	50
UNIQUE IDENTIFICATION NUMBER	CHARACTER	15
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1

Purpose Code – 12 Permanent address of First / Sole holder

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
ADDRESS LINE 1	CHARACTER	30
ADDRESS LINE 2	CHARACTER	30
ADDRESS LINE 3	CHARACTER	30
CITY	CHARACTER	25
STATE	CHARACTER	25
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
PRIME PHONE NUMBER	CHARACTER	17
FAX	CHARACTER	17
E-MAIL ID	CHARACTER	50

Purpose Code – 16 - SMS registration details

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
MOBILE NUMBER	CHARACTER	11
SET UP DATE	DATE	14
EMAIL ID	CHARACTER	100
REMARKS	CHARACTER	100
PUSH PULL FLAG	CHARACTER	1

Purpose Code – 18 - Authorized Signatory for Corporate / HUF accounts

Multiple lines will be present, if Authorized signatories are multiple signatories are in the account.

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
NA SEQUENCE NUMBER	NUMBER	4
BO NAME	CHARACTER	100
REMARKS	CHARACTER	50

Purpose Code – 19 - Signature

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
Image File Name	VARCHAR2	20
Signature Setup Date	DATE	8

Purpose Code – 20 – Trust Registration details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
Registered De Registered		
Ex id	Number	2
CM ID	Char	8

Line no. 20 will be repeated for each Ex ID/CM ID combination

Purpose Code: 21

CM POA Account Mapping details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
MAPPING UNMAPPING FLAG (1 - Mapped 2 - Unmapped)	NUMBER	1
Ex id	Number	2
CM ID	Char	8
CM Account To Be Mapped	NUMBER	16

Line no. 21 will be repeated for each CM POA Account Mapping combination

Summary Record: -

Description	Data Type	Length	Value
IDENTIFIER	CHAR	1	T
TOTAL NUMBER OF RECORDS	NUMBER	9	~~
BUSINESS DATE	DATE	8	(8) -DDMMYYYY
SYSTEM DATE AND TIME	CHAR	14	DDMMYYYYHH24MISS

Report Documentation

Report ID   :   **DPS9**

Report Description   :   **BO DETAILS**

File Naming Convention: If BO Id is specified: 08DPS9U.<BO Id>.<Event No>

                                                         Else: 08DPS9U.<Event No>

Purpose Code	Description
0	BO ID
1	Name, Correspondence Address, PAN details of first / sole Holder and other details applicable for the product & present in line 5 of BO upload
2	Name, PAN details of second holder
3	Name, PAN details of third holder
4	BO level Freeze Details. If multiple BO level freeze requests are present for the account multiple records will appear
5	POA link details – Multiple lines will be present if the account is linked with more than one POA
6	Nominee Name and Address details
7	Guardian Name and Address details
8	Nominee's Guardian Name and Address details
12	Permanent address of First / Sole holder
16	SMS registration details
18	Name of Authorized Signatory for Corporate / HUF accounts. Multiple lines will be present if multiple signatories are present in the account
19	Signature Setup / Modify
20	SMS Through Payin (CM Details)
21	*CM POA Account Mapping Details*

Gaps in the purpose code numbering, given above, are deliberate.

Header Record: -

Description	Data Type	Length	Value
IDENTFIER	CHARACTER	1	H
DP ID	NUMBER	6	DP ID
FILLER			
BUSINESS DATE/HISTORY FROM DATE	DATE	8	DDMMYYYY
FILLER			
SYSTEM DATE AND TIME/HISTORY TO DATE	CHARACTER	14	DDMMYYYYHH24MISS

Purpose Code – 0 BO ID

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
BO ID	CHARACTER	16
BUSINESS DATE	DATE	8
SOURCE DP	NUMBER	6
FILLER		
FILLER		
FILLER		

Purpose Code – 1 (Line 01) BO ID, Name, Address, PAN details of first / sole Holder and other details applicable for the product and present in line 5 of BO upload

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	30

ADDRESS LINE 2	CHARACTER	30
ADDRESS LINE 3	CHARACTER	30
CITY	CHARACTER	25
STATE	CHARACTER	25
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
PRIME PHONE INDICATOR	CHARACTER	1
PRIME PHONE NUMBER	CHARACTER	17
ALTERNATE PHONE INDICATOR	CHARACTER	1
ALTERNATE PHONE NUMBER	CHARACTER	17
ADDITIONAL PHONES	CHARACTER	100
FAX	CHARACTER	17
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN VERIFICATION CODE	CHARACTER	1
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
E-MAIL ID	CHARACTER	50
USER TEXT 1	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
BO ACCOUNT STATUS	NUMBER	1
FREEZE SUSPENSION FLAG	NUMBER	1
BO CATEGORY	NUMBER	2
BO CUST TYPE	NUMBER	2
BO SUB STATUS	NUMBER	4
PRODUCT CODE	NUMBER	4
CLEARING CORPORATION ID	NUMBER	4
CLEARING MEMBER ID	CHARACTER	8
STOCK EXCHANGE	NUMBER	2
TRADING ID	CHARACTER	8
BO STATEMENT CYCLE CODE	CHARACTER	2
ACCOUNT CREATION DATE	DATE	8
DP INTERNAL REFERENCE NUMBER	CHARACTER	10
CONFIRMATION WAIVED	CHARACTER	1

DATE OF BIRTH/ORIGIN	DATE	8
BO ACTIVATION DATE	DATE	8
ELECTRONIC CONFIRMATION	CHARACTER	1
ECS / MANDATE	CHARACTER	1
DIVIDEND BANK ACCOUNT NO	CHARACTER	20
DIVIDEND BANK CODE	CHARACTER	12
DIVIDEND IFS CODE	CHARACTER	11
DIVIDEND BANK CURRENCY	NUMBER	6
DIVIDEND ACCOUNT TYPE	NUMBER	2
ANNUAL INCOME CODE	NUMBER	4
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2
BO SETTLEMENT PLANNING FLAG	CHARACTER	1
EDUCATION/DEGREE	CHARACTER	4
GEOGRAPHICAL CODE	CHARACTER	4
GROUP CODE	CHARACTER	8
LANGUAGE CODE	NUMBER	3
NATIONALITY CODE	CHARACTER	3
OCCUPATION	CHARACTER	4
SECURITY ACCESS CODE	NUMBER	2
SEX CODE	CHARACTER	1
STAFF/RELATIVE	CHARACTER	1
STAFF CODE	CHARACTER	10
RBI REFERENCE NUMBER	CHARACTER	30
RBI APPROVAL DATE	DATE	8
SEBI REGISTRATION NUMBER	CHARACTER	24
CLOSURE APPROVED DATE	DATE	8
CLOSURE DATE	DATE	8
TRANSFER BO ID	CHARACTER	16
BALANCE TRANSFER	CHARACTER	1
CLOSURE REASON CODE	NUMBER	2
CLOSURE INITIATED BY	CHARACTER	1
CLOSURE REMARK	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	15
NAME CHANGE REASON CODE	CHARACTER	25

EMAIL STATEMENT FLAG	CHARACTER	1
CAS MODE	CHARACTER	2
PREFERRED DEPOSITORY FLAG	CHARACTER	1
TRUST REGISTER	CHARACTER	1
RGESS FLAG	CHARACTER	1
ANNUAL REPORT FLAG	CHARACTER	1
PLEDGE STANDING INSTRUCTION FLAG	CHARACTER	1
EMAIL RTA DOWNLOAD	CHARACTER	1
BASIC SERVICES DEMAT A/C	CHARACTER	1
MASTER POA TYPE FLAG	*CHARACTER*	*1*
FAMILY ACCOUNT FLAG	*CHARACTER*	*1*
CUSTODIAN/PMS EMAIL ID	*CHARACTER*	*50*
FILLER1	*CHARACTER*	*25*
FILLER2	*CHARACTER*	*25*
FILLER3	*CHARACTER*	*25*
FILLER4	*CHARACTER*	*4*
FILLER5	*CHARACTER*	*4*

Purpose Code – 2, 3 Name, PAN details of second, third holder

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN VERIFICATION CODE	CHARACTER	1
IT CIRCLE / WARD/ DISTRICT	CHARACTER	15
ADDRESS LINE 1	CHARACTER	30
ADDRESS LINE 2	CHARACTER	30

ADDRESS LINE 3	CHARACTER	30
CITY	CHARACTER	25
STATE	CHARACTER	25
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
DATE OF BIRTH/ORIGIN	DATE	8
DATE OF BIRTH SECOND HOLDER	DATE	8
EMAIL	CHARACTER	50
UNIQUE IDENTIFICATION NUMBER	CHARACTER	15
NAME CHANGE REASON CODE	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1

Purpose Code – 4 - BO level Freeze Details. If multiple BO level freeze requests are present for the account multiple records will appear

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
FREEZE ID	NUMBER	8
FREEZE INITIATION DATE	DATE	8
FREEZE ACTIVATION DATE	DATE	8
FREEZE EXPIRY DATE	DATE	8
FREEZE INITIATED BY	NUMBER	1
FROZEN FOR	NUMBER	1
FREEZE REASON CODE	NUMBER	2
FREEZE STATUS	CHARACTER	1
FREEZE ACTIVATION TYPE	NUMBER	1

FREEZE SUB OPTION	NUMBER	1
FREEZE REMARKS	CHARACTER	100

Purpose Code – 5 POA link details – Multiple lines will be present if the account is linked with more than one POA

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
MASTER POA ID	CHARACTER	16
POA REGISTRAR NUMBER	CHARACTER	16
SETUP DATE	DATE	8
GPA /BPA FLAG	CHARACTER	1
EFFECTIVE FORM DATE	DATE	8
EFFECTIVE TO DATE	DATE	8
REMARKS	CHARACTER	50
HOLDER NUMBER	NUMBER	1
POA STATUS	CHARACTER	1

Purpose Code – 6 Nominee Name and Address details

Purpose Code – 7 Guardian Name and Address details

Purpose Code – 8 Nominee's Guardian Name and Address details

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	30
ADDRESS LINE 2	CHARACTER	30

ADDRESS LINE 3	CHARACTER	30
CITY	CHARACTER	25
STATE	CHARACTER	25
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
PRIME PHONE INDICATOR	CHARACTER	1
PRIME PHONE NUMBER	CHARACTER	17
ALTERNATE PHONE INDICATOR	CHARACTER	1
ALTERNATE PHONE NUMBER	CHARACTER	17
ADDITIONAL PHONES	CHARACTER	100
FAX	CHARACTER	17
PAN/GIR	CHARACTER	25
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
E-MAIL ID	CHARACTER	50
DATE OF BIRTH/ORIGIN	DATE	8
DATE OF BIRTH	DATE	8
USER TEXT 1	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
FILLER	CHARACTER	50
UNIQUE IDENTIFICATION NUMBER	CHARACTER	15
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1

Purpose Code – 12 Permanent address of First / Sole holder

Field Description	Field Type	Field Length

PURPOSE CODE	NUMBER	2
FILLER		
ADDRESS LINE 1	CHARACTER	30
ADDRESS LINE 2	CHARACTER	30
ADDRESS LINE 3	CHARACTER	30
CITY	CHARACTER	25
STATE	CHARACTER	25
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
PRIME PHONE NUMBER	CHARACTER	17
FAX	CHARACTER	17
E-MAIL ID	CHARACTER	50

Purpose Code – 16 SMS registration details

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
MOBILE NUMBER	CHARACTER	11
SET UP DATE	DATE	14
EMAIL ID	CHARACTER	100
REMARKS	CHARACTER	100
PUSH PULL FLAG	CHARACTER	1

Purpose Code – 18 Authorized Signatory for Corporate / HUF accounts.

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
NA SEQUENCE NUMBER	NUMBER	4
BO NAME	CHARACTER	100
REMARKS	CHARACTER	50

Multiple lines will be present if Authorized signatories are multiple signatories are in the account.

Purpose Code – 19 Signature

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 - DELETE	NUMBER	3
IMAGE FILE NAME	VARCHAR2	20
SIGNATURE SETUP DATE	DATE	8

Purpose Code – 20 – Trust Registration details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
Registered De Registered		
Ex id	Number	2
CM ID	Char	8

Line no. 20 will be repeated for each Ex ID/CM ID combination

Purpose Code: 21

CM POA Account Mapping details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	*NUMBER*	*2*
MAPPING UNMAPPING FLAG (1 - Mapped 2 - Unmapped)	*NUMBER*	*1*
Ex id	*Number*	*2*
CM ID	*Char*	*8*
CM Account To Be Mapped	*NUMBER*	*16*

Line no. 21 will be repeated for each CM POA Account Mapping combination

Summary Record: -

Description	Data Type	Length	Value
IDENTIFIER	CHAR	1	T
TOTAL NUMBER OF RECORDS	NUMBER	9	~~
BUSINESS DATE	DATE	8	(8) -DDMMYYYY
SYSTEM DATE AND TIME	CHAR	14	DDMMYYYYHH24MISS

Report Documentation

Report ID : **DPB9**

Report Description : **BO DETAILS**

Module : **BO**

File Naming Convention: If BO Id is specified: 08DPB9U.<BO Id>.<Event No>

Else: 08DPB9U. <Event No>

Purpose Code	Description
0	BO ID
1	Name, Correspondence Address, PAN details of first / sole Holder and other details applicable for the product & present in line 5 of BO upload
2	Name, PAN details of second holder
3	Name, PAN details of third holder
4	BO level Freeze Details. If multiple BO level freeze requests are present for the account multiple records will appear
5	POA link details – Multiple lines will be present if the account is linked with more than one POA
6	Nominee Name and Address details
7	Guardian Name and Address details
8	Nominee's Guardian Name and Address details
12	Permanent address of First / Sole holder
16	SMS registration details
18	Name of Authorized Signatory for Corporate / HUF accounts. Multiple lines will be present if multiple signatories are present in the account
19	Signature Setup / Modify
20	SMS Through Payin (CM Details)
21	*CM POA Account Mapping Details*

The report will contain details of following types of transactions

Code	Description
1	Setup
2	Modify / Freeze SOD Activation / Signature Replace
3	Delete / Closure / Unfreeze / SMS Deregistration for Portfolio Valuation Through EASI / Activation of Closed Accounts
4	POA Expiry /SMS Deregistration / Freeze Expiry / Closure Approval
5	Setup Closure / Blocking SMS Alerts for Portfolio Valuation By DP

6	Closure Approval Days Limit Passed
	Unblocking SMS Deregistration for Portfolio Valuation Through EASI

Transaction Source Can Have Following Values

Code	Description
1	ONLINE THROUGH CDAS
2	UPLOAD THROUGH CDAS
3	EOD / SOD
4	EASI

Header Record: -

Field Description	Field Type	Field Length	Value
IDENTIFIER	CHARACTER	1	H
DP ID	NUMBER	6	
TYPE OF DOWNLOAD	CHARACTER	1	I – Incremental F – Full H – History E - Last file of incremental files R – EOD Request Report BO Details O - Online Report BO Details
BUSINESS DATE/HISTORY FROM DATE	DATE	8	DDMMYYYY
SEQUENCE NUMBER	CHARACTER	3	For 'I' and 'E' -3 digit sequence number For 'F' – 'EOD' For 'H' – 'HST' For 'R' – REQ For 'O' - ONL
SYSTEM DATE AND TIME/HISTORY TO DATE	CHARACTER	14	DDMMYYYYHH24MISS

Purpose Code – 0 BO ID

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
BO ID	CHARACTER	16
BUSINESS DATE OF THE TRANSACTION	DATE	8
SOURCE DP	NUMBER	6
OPERATOR ID	CHARACTER	6
SOURCE OF THE TRANSACTION	NUMBER	2
TRANSACTION SYSTEM DATE	DATE	14

Purpose Code – 1 - BO ID, Name, Address, PAN details of first / sole Holder and other details applicable for the product and present in line 5 of BO upload

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY 3 – CLOSURE/ACTIVATION OF CLOSED ACCOUNT 4 – CLOSURE APPROVAL 5 – SETUP CLOSURE	NUMBER	3
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	30
ADDRESS LINE 2	CHARACTER	30
ADDRESS LINE 3	CHARACTER	30
CITY	CHARACTER	25
STATE	CHARACTER	25
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
PRIME PHONE INDICATOR	CHARACTER	1
PRIME PHONE NUMBER	CHARACTER	17
ALTERNATE PHONE INDICATOR	CHARACTER	1

ALTERNATE PHONE NUMBER	CHARACTER	17
ADDITIONAL PHONES	CHARACTER	100
FAX	CHARACTER	17
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN VERIFICATION CODE	CHARACTER	1
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
E-MAIL ID	CHARACTER	50
USER TEXT 1	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
BO ACCOUNT STATUS	NUMBER	1
FREEZE SUSPENSION FLAG	NUMBER	1
BO CATEGORY	NUMBER	2
BO CUST TYPE	NUMBER	2
BO SUB STATUS	NUMBER	4
PRODUCT CODE	NUMBER	4
CLEARING CORPORATION ID	NUMBER	4
CLEARING MEMBER ID	CHARACTER	8
STOCK EXCHANGE	NUMBER	2
TRADING ID	CHARACTER	8
BO STATEMENT CYCLE CODE	CHARACTER	2
ACCOUNT CREATION DATE	DATE	8
DP INTERNAL REFERENCE NUMBER	CHARACTER	10
CONFIRMATION WAIVED	CHARACTER	1
DATE OF BIRTH/ORIGIN	DATE	8
BO ACTIVATION DATE	DATE	8
ELECTRONIC CONFIRMATION	CHARACTER	1
ECS / MANDATE	CHARACTER	1
DIVIDEND BANK ACCOUNT NO	CHARACTER	20
DIVIDEND BANK CODE	CHARACTER	12
DIVIDEND IFS CODE	CHARACTER	11
DIVIDEND BANK CURRENCY	NUMBER	6
DIVIDEND ACCOUNT TYPE	NUMBER	2
ANNUAL INCOME CODE	NUMBER	4
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2

BO SETTLEMENT PLANNING FLAG	CHARACTER	1
EDUCATION/DEGREE	CHARACTER	4
GEOGRAPHICAL CODE	CHARACTER	4
GROUP CODE	CHARACTER	8
LANGUAGE CODE	NUMBER	3
NATIONALITY CODE	CHARACTER	3
OCCUPATION	CHARACTER	4
SECURITY ACCESS CODE	NUMBER	2
SEX CODE	CHARACTER	1
STAFF/RELATIVE	CHARACTER	1
STAFF CODE	CHARACTER	10
RBI REFERENCE NUMBER	CHARACTER	30
RBI APPROVAL DATE	DATE	8
SEBI REGISTRATION NUMBER	CHARACTER	24
CLOSURE APPROVED DATE	DATE	8
CLOSURE DATE	DATE	8
TRANSFER BO ID	CHARACTER	16
BALANCE TRANSFER	CHARACTER	1
CLOSURE REASON CODE	NUMBER	2
CLOSURE INITIATED BY	CHARACTER	1
CLOSURE REMARK	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	15
NAME CHANGE REASON CODE	CHARACTER	25
EMAIL STATEMENT FLAG	CHARACTER	1
CAS MODE	CHARACTER	2
PREFERRED DEPOSITORY FLAG	CHARACTER	1
TRUST REGISTER	CHARACTER	1
RGESS FLAG	CHARACTER	1
ANNUAL REPORT FLAG	CHARACTER	1
PLEDGE STANDING INSTRUCTION FLAG	CHARACTER	1
EMAIL RTA DOWNLOAD	CHARACTER	1
BASIC SERVICES DEMAT A/C	CHARACTER	1
MASTER POA TYPE FLAG	*CHARACTER*	*1*
FAMILY ACCOUNT FLAG	*CHARACTER*	*1*
CUSTODIAN/PMS EMAIL ID	*CHARACTER*	*50*
FILLER1	*CHARACTER*	*25*

FILLER2	*CHARACTER*	*25*
FILLER3	*CHARACTER*	*25*
FILLER4	*CHARACTER*	*4*
FILLER5	*CHARACTER*	*4*

Purpose Code – 2, 3 Name, PAN details of second, third holder

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 - MODIFY	NUMBER	3
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN VERIFICATION CODE	CHARACTER	1
IT CIRCLE/WARD/DIST /WARD/DISTRICT	CHARACTER	15
ADDRESS LINE 1	CHARACTER	30
ADDRESS LINE 2	CHARACTER	30
ADDRESS LINE 3	CHARACTER	30
CITY	CHARACTER	25
STATE	CHARACTER	25
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
SETUP DATE	DATE	8
DATE OF BIRTH SECOND HOLDER	DATE	8
EMAIL	CHARACTER	50
UNIQUE IDENTIFICATION NUMBER	CHARACTER	15
NAME CHANGE REASON CODE	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25

FILLER	CHARACTER	25
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1

Purpose Code – 4 BO level Freeze Details. If multiple BO level freeze requests are present for the account, multiple records will display

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 – SETUP 2 – FREEZE SOD ACTIVATION 3 - UNFREEZE 4 – FREEZE EXPIRY	NUMBER	3
FREEZE ID	NUMBER	8
FREEZE INITIATION DATE	DATE	8
FREEZE ACTIVATION DATE	DATE	8
FREEZE EXPIRY DATE	DATE	8
FREEZE INITIATED BY	NUMBER	1
FROZEN FOR	NUMBER	1
FREEZE REASON CODE	NUMBER	2
FREEZE STATUS	CHARACTER	1
FREEZE ACTIVATION TYPE	NUMBER	1
FREEZE SUB OPTION	NUMBER	1
FREEZE REMARKS	CHARACTER	100

Purpose Code – 5 POA link details – Multiple lines will be present, if the account is linked with more than one POA

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY 3 - DELETE	NUMBER	3

4 - EXPIRY		
MASTER POA ID	CHARACTER	16
POA REGISTRAR NUMBER	CHARACTER	16
SETUP DATE	DATE	8
GPA /BPA FLAG	CHARACTER	1
EFFECTIVE FORM DATE	DATE	8
EFFECTIVE TO DATE	DATE	8
REMARKS	CHARACTER	50
HOLDER NUMBER	NUMBER	1
POA STATUS	CHARACTER	1

Purpose Code – 6 Nominee Name and Address details
Purpose Code – 7 Guardian Name and Address details
Purpose Code – 8 Nominee's Guardian Name and Address details

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY 3 - DELETE	NUMBER	3
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	30
ADDRESS LINE 2	CHARACTER	30
ADDRESS LINE 3	CHARACTER	30
CITY	CHARACTER	25
STATE	CHARACTER	25
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
PRIME PHONE INDICATOR	CHARACTER	1
PRIME PHONE NUMBER	CHARACTER	17
ALTERNATE PHONE INDICATOR	CHARACTER	1
ALTERNATE PHONE NUMBER	CHARACTER	17

ADDITIONAL PHONES	CHARACTER	100
FAX	CHARACTER	17
PAN/GIR	CHARACTER	25
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
E-MAIL ID	CHARACTER	50
DATE OF BIRTH/ORIGIN	DATE	8
DATE OF BIRTH	DATE	8
USER TEXT 1	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
FILLER	CHARACTER	50
UNIQUE IDENTIFICATION NUMBER	CHARACTER	15
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1

Purpose Code – 12 Permanent address of First / Sole holder

Field Description	Data Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY	NUMBER	3
ADDRESS LINE 1	CHARACTER	30
ADDRESS LINE 2	CHARACTER	30
ADDRESS LINE 3	CHARACTER	30
CITY	CHARACTER	25
STATE	CHARACTER	25
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10

PRIMARY PHONE NUMBER	CHARACTER	17
FAX	CHARACTER	17
E-MAIL ID	CHARACTER	50

Purpose Code – 16 SMS Registration Details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY 3 - SMS DEREGISTRATION FOR PORTFOLIO VALUATION THROUGH EASI 4 -– DEREGISTRATION 5-- BLOCKING SMS ALERTS FOR PORTFOLIO VALUATION BY DP 6-- UNBLOCKING SMS ALERTS FOR PORTFOLIO VALUATION BY DP	NUMBER	3
MOBILE NUMBER	CHARACTER	11
SET UP DATE	DATE	14
EMAIL ID	CHARACTER	100
REMARKS	CHARACTER	100
PUSH PULL FLAG	CHARACTER	1

Purpose Code – 18 Authorized Signatory for Corporate / HUF accounts/ CM
Multiple lines will be present, if Authorized signatories are multiple
in the account.

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY 3 - DELETE	NUMBER	3
NA SEQUENCE NUMBER	NUMBER	4
BO NAME	CHARACTER	100
REMARKS	CHARACTER	50

Purpose Code – 19 Signatures

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 - DELETE	NUMBER	3
IMAGE FILE NAME	VARCHAR2	20
SIGNATURE SETUP DATE	DATE	8

Purpose Code – 20 – Trust Registration details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
Registered De Registered		Y / N
Ex id	Number	2
CM ID	Char	8

Line no. 20 will be repeated for each Ex ID/CM ID combination

Purpose Code – 21 – CM POA Account Mapping details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
MAPPING UNMAPPING FLAG (1 - Mapped 2 - Unmapped)	NUMBER	1
Ex id	Number	2
CM ID	Char	8
CM Account To Be Mapped	NUMBER	16

Line no. 21 will be repeated for each CM POA Account Mapping combination

Summary Record: -

Field Description	Field Type	Field Length	Value
IDENTIFIER	CHARACTER	1	T
TOTAL NUMBER OF RECORDS	NUMBER	9	
BUSINESS DATE	DATE	8	(8) -DDMMYYYY

SYSTEM DATE AND TIME	CHARACTER	14	DDMMYYYYHH24MISS

Note: - For BO Common Download

1) For a BO, Purpose Code 0 and only the changed purpose code lines will be printed.

   For e.g.

   a. When BO is setup, all applicable purpose codes will be printed.

   b. After activation, Purpose Code 0 and all fields of Purpose Code 1 will be printed (including modified Account Status as well as non-modified fields of Purpose Code 1). (Removed. It will be printed as part of above setup record)

   c. If SMS details are setup, Purpose Code 0 and all fields of Purpose Code 16 will be printed.

   d. Subsequently, if nominee is modified, Purpose Code 0 and all fields of Purpose Code 6 will be printed (including modified fields and non-modified fields of nominee).

   e. If address details of all joint holders are modified, Purpose Codes 0 and all fields of Purpose Code 1, 2 and 3 will be printed. (including modified address fields and non-modified fields of all joint holders)

Note: -

For a BO, LINE Code 0 and only the changed LINE code lines will be printed. For e.g.

1) When BO is setup, all applicable Line codes will be printed.

2) After activation, Line Code 0 and all fields of Line Code 1 will be printed (including modified Account Status as well as non-modified fields of Line Code 1).

3) If SMS details are setup, Line Code 0 and all fields of Line Code 16 will be printed.

4) Subsequently, if nominee is modified, Line Code 0 and all fields of Line Code 6 will be printed (including modified fields and non-modified fields of nominee).

5) If address details of any joint holders are modified, Line Codes 0 and all fields of relevant Line (corresponding to the joint holder) Code 1, 2 and 3 will be printed. (including modified address fields and non-modified fields of that joint holder)

FILE NAMING CONVENTIONS:

Report Name	Header Record	Type of Download
08DPB9U.<DDMMYYYY(Business Date)>.001	H<DPID(6)>I<DDMMYYYY(Business Date)>001<ddmmyyyyHHMiSS(Generation Date & Time)>	I - Incremental
08DPB9U.<DDMMYYYY(Business Date)>.002	H<DPID(6)>I<DDMMYYYY(Business Date)>002<ddmmyyyyHHMiSS(Generation Date & Time)>	I - Incremental
08DPB9U.<DDMMYYYY(Business Date)>.003	H<DPID(6)>E<DDMMYYYY(Business Date)>003<ddmmyyyyHHMiSS(Generation Date & Time)>	E - Last file of incremental files
08DPB9U.<DDMMYYYY(Business	H<DPID(6)>F<DDMMYYYY(Business	F – Full

Date)>.EOD	Date)>EOD<ddmmyyyy(Business Date)>000000	
08DPB9U.<DDMMYYYY(Business Date)>.HST.<EVENT ID>	H<DPID(6)>H<DDMMYYYY(From Date)>HST<DDMMYYYY(To Date)>000000	H – History
08DPB9U.<DDMMYYYY(Business Date)>.ONL.<EVENT ID>	H<DPID(6)>O<DDMMYYYY(Business Date)>ONL<DDMMYYYY(Business Date)>000000	O - Online